

**Notice for Prescription Drug Plans of a MONTHLY PDP Notification File for Auto- and Facilitated Enrollments (Including Beneficiary Addresses)**

For the Month of March, 2006, CMS will be sending your monthly file of the beneficiaries who have been “assigned” to your Prescription Drug Plan on or about March 13, 2006. Please note this month’s PDP notification file will contain the first round of potential facilitated enrollments of Other LIS eligible (non-full duals) to your plan in addition to the full duals CMS has sent in the past.

There will be several ways for you to differentiate between the full and non-full dual eligible beneficiaries. First, the full duals will have retroactive enrollment effective dates and the non-full duals will have an effective date of May 1, 2006. Second, on both this file and the MARx-generated Transaction Reply Report (TRR), Field #21 Enrollment Type = A (auto-enrollment) or C (facilitated enrollment). Third, on the MARx TRR, the Transaction Reply Code (TRC) for the record will be 117=Auto-enrollment, and 118=Facilitated enrollment.

Because this is the first official distribution of the facilitated enrollment data, CMS will add another level of assurance in the proper processing of this data by providing the facilitated enrollment to your plan in a separate TRR, apart from all other plan transaction replies and this month’s auto-enrollments.

This TRR for facilitated enrollments will be in the normal TRR record layout and file naming convention, but it will not arrive on a Saturday as with other TRRs. We expect the facilitated enrollment TRR to be pushed to your plan on Wednesday, March 15, 2006.

CMS will use the same format and file naming conventions as in the original file sent to you October 20, 2005, from the “Initial” Auto Assignment process. The format is also enclosed. **The file naming standards are as follows:**

**Gentran:** P#MBD.#APDP4.XXXXX.OUT.NOTIF.pppppp

where XXXXX is the plan number and "pppppp" is the Gentran processing number.

**Connect:Direct (Mainframe):**

zzzzzzzz.#APDP4.xxxxx.OUT.NOTIF

where (zzzzzzzz = Site specific High Level Qualifier)

**RENAME last month’s file immediately; you will NOT receive this file if there is an existing one with the same name. CMS will not resend because of this error.**

**Connect:Direct (Non-Mainframe):**

\\directory\\P#MBD.#APDP4.xxxxx.OUT.NOTIF

where (directory = site specific directory name) and xxxxxx = plan number

**RENAME last month’s file immediately; the existing file will be overwritten.**

Please note that this file only contains assignments, not confirmation that auto- or facilitated enrollment transactions for the beneficiaries have been processed by MARx. You will still need to check your Transaction Reply Reports (TRRs) from MARx to determine whether the assignments were accepted or rejected as actual enrollments into your PDP.

The auto- and facilitated-assign PDP notification file provides an additional source of LIS information, as well as early notification to PDPs of beneficiaries receiving CMS auto-enrollment notices indicating assignment to your plan. This auto- and facilitated assign PDP notification file will give PDPs immediate access to address data for these beneficiaries. Having this file will assist your plan in expediting the submission of the 4Rx records for these beneficiaries, but keep in mind to wait for the weekly TRR containing the auto-enrollments prior to submitting the 4Rx files. We hope this will be a productive planning tool and provide a better service to your organization.

As always, CMS welcomes your feedback. Organizations with questions should call the Help Desk at 1-800-927-8069, or e-mail them at [MMAHelp@cms.hhs.gov](mailto:MMAHelp@cms.hhs.gov).